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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

042390.P9144

First Inventor or Application Identifier

Robert P. Hale

Title

A SYSTEM AND METHOD FOR VERIFYING THE INTEGRITY OF

Express Mail Label No.

EL466331508US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

5. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]

Oath or Declaration [Total Pages 4]

- a. ☐ Newly executed (original copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity Statement filed in prior application, Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application Information: Examiner _____

Group/Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Address

12400 Wilshire Boulevard, Seventh Floor

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State

California

Zip Code

90025

Country

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Telephone

(714) 557-3800


Fax

(714) 557-3347

Name (Print/Type)

William W. Schaal, Reg. No. 39,018

Signature



Date

09/29/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Complete if Known

TOTAL AMOUNT OF PAYMENT	(\$)	1,314.00
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Application Number	
Filing Date	September 29, 2000
First Named Inventor	Robert P. Hale
Examiner Name	
Group/Art Unit	
Attorney Docket No.	042390.P9144

00841 U.S. PRO
09/675113
09/29/00

FEE CALCULATION (continued)

- Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

3. ADDITIONAL FEE

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	\$690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)	(\$)	690.00
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Extra

Fee from

below

18.00

78.00

Total Claims	33	- 20 =	13	X	18.00 =	\$234.00
Independent Claims	8	- 3 =	5	X	78.00 =	\$390.00

Multiple Dependent

***or number previously paid, if greater. For Reissues, see below*

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 over original patent

SUBTOTAL (2)	(\$)	624.00
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	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Leases	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as sales, while operating leases are accounted for as rentals.	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as sales, while operating leases are accounted for as rentals.
3. Intangible Assets	Intangible assets are recognized and measured at cost, less accumulated amortization and impairment losses.	Intangible assets are recognized and measured at cost, less accumulated amortization and impairment losses.
4. Goodwill	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets.	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets.
5. Research and Development	Research and development costs are expensed as incurred.	Research and development costs are expensed as incurred.
6. Income Taxes	Income taxes are accounted for using the liability method.	Income taxes are accounted for using the liability method.
7. Financial Instruments	Financial instruments are recognized and measured at fair value.	Financial instruments are recognized and measured at fair value.
8. Derivatives	Derivatives are recognized and measured at fair value.	Derivatives are recognized and measured at fair value.
9. Employee Benefits	Employee benefits are recognized and measured at fair value.	Employee benefits are recognized and measured at fair value.
10. Other	Other accounting standards and practices are applied consistently.	Other accounting standards and practices are applied consistently.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920 *	112	920 *	*Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	*Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,210	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____
Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Complete (if applicable)

Typed or Printed Name	William W. Schaal
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Signature

Date _____

09/29/00

Reg. Number	39,018
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Deposit Account User ID	02-2666
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